1	EDMUND G. BROWN JR., Attorney General	
2	of the State of California WILBERT BENNETT	
3	Supervising Deputy Attorney General CAROL S. ROMEO, State Bar No. 124910	
4	Deputy Attorney General California Department of Justice	
5	1515 Clay Street, 20 th Floor P.O. Box 70550	
6	Oakland, CA 94612-0550	
7	Telephone: (510) 622-2141 Facsimile: (510) 622-2270	
	Attorneys for Complainant	
8		
9	BOARD OF REGISTERED NURSING	
10		
11		
12	In the Matter of the Petition to Revoke Probation Against:	Case No. 2006-35
13	CHARLES ALLEN HUMPHRIES	OAH No.
14	3204 Scenic Drive Napa, California 94558	DEFAULT DECISION AND ORDER
15	Registered Nurse License No. 482329	[Gov. Code, §11520]
16	Public Health Nurse License No. 60890 Respondent.	(· · · · · · · · · · · · · · · · ·
17		
18	<u>FINDINGS OF</u>	FACT
19	1. On or about May 22, 2007, Co	omplainant Ruth Ann Terry, M.P.H., R.N., in
20	her official capacity as the Executive Officer of the Board of Registered Nursing, filed Petition to	
21	Revoke Probation No. 2006-35 against CHARLES ALLEN HUMPHRIES (Respondent).	
22	2. On or about August 31, 1992,	the Board of Registered Nursing (Board)
23	issued Registered Nurse License No. 482329 to Respondent. The Registered Nurse License was	
24	in full force and effect at all times relevant to the charges brought herein and will expire on	
25	January 31, 2008, unless renewed.	
26	3. On or about August 4, 1999, the	ne Board issued Public Health Nurse License
27	No. 60890 to Respondent. The license was in effect at all times relevant to the charges brought	
28	herein, and will expire on January 31, 2008, unless re	enewed.

//

//

28 | //

- 4. On or about June 5, 2007, Carol L. Grays, an employee of the Department of Justice, served by Certified and First Class Mail a copy of Petition to Revoke Probation No. 2006-35, Statement to Respondent, Notice of Defense, Request for Discovery, and Government Code sections 11507.5, 11507.6, and 11507.7 to Respondent's address of record with the Board, which was and is 3204 Scenic Drive, Napa, California 94558. A copy of Petition to Revoke Probation No. 2006-35 is attached as Exhibit A, and is incorporated herein by reference.
- 5. Service of the Petition to Revoke Probation was effective as a matter of law under the provisions of Government Code section 11505, subdivision (c).
 - 6. Government Code section 11506 states, in pertinent part:
- "(c) The respondent shall be entitled to a hearing on the merits if the respondent files a notice of defense, and the notice shall be deemed a specific denial of all parts of the accusation not expressly admitted. Failure to file a notice of defense shall constitute a waiver of respondent's right to a hearing, but the agency in its discretion may nevertheless grant a hearing."
- 7. Respondent failed to file a Notice of Defense within 15 days after service upon him of the Petition to Revoke Probation, and therefore waived his right to a hearing on the merits of Petition to Revoke Probation No. 2006-35.
 - 8. California Government Code section 11520 states, in pertinent part:
 - "(a) If the respondent either fails to file a notice of defense or to appear at the hearing, the agency may take action based upon the respondent's express admissions or upon other evidence and affidavits may be used as evidence without any notice to respondent."
- 9. Pursuant to its authority under Government Code section 11520, the Board finds that Respondent is in default and has waived his right to a hearing. The Board will take action without further hearing and, based on the evidence on file herein, determines that the allegations in Petition to Revoke Probation No. 2006-35 are true.

1 <u>DETERMINATION OF ISSUES</u> 2 1. Based on the foregoing findings of fact, Respondent CHARLES ALLEN 3 HUMPHRIES has subjected his Registered Nurse License No. 482329 and his Public Health 4 Nurse License No. 60890 to discipline. 5 2. Service of Petition to Revoke Probation No. 2006-35 and related documents was proper and in accordance with the law. 6 7 3. The agency has jurisdiction to adjudicate this case by default. 8 4. The Board is authorized to revoke Respondent's probation and reimpose 9 the Order of Revocation of Respondent's Registered Nurse License No. 482329 and 10 Respondent's Public Health Nurse License No. 60890 in that Respondent failed to comply with the terms and conditions of his probation in the following respects: 11 12 With regard to Condition #16, Respondent failed to completely abstain a. 13 from the personal use or possession of psychotropic (mood altering) drugs or controlled 14 substances, as defined in the California Uniform Controlled Substances Act, and the same drugs 15 were not ordered by a health care professional legally authorized to prescribe them as part of documented medical treatment, as follows: 16 17 On or about July 10, 2006, Respondent tested positive for Codeine, 18 a controlled substance. 19 ii. On or about August 4, 2006, Respondent tested positive for 20 Methadone, a controlled substance. 21 iii. On or about August 18, 2006, Respondent tested positive for 22 Methadone, a controlled substance. 23 iv. On or about September 6, 2006, Respondent tested positive for Morphine, a controlled substance, and Oxycodone, a controlled substance. 24 25 On or about September 13, 2006, Respondent tested positive for ٧. 26 Morphine, a controlled substance, and Oxycodone, a controlled substance. 27 vi. On or about October 26, 2006, Respondent tested positive for 28 Methadone, a controlled substance, Oxycodone, a controlled substance, and Oxymorphone, a

ORDER IT IS SO ORDERED that Respondent's probation is revoked and the Order of Revocation of Respondent's Registered Nurse License No. 482319 is reimposed, and Respondent's Registered Nurse License No. 482319, heretofore issued to Respondent Charles Allen Humphries, is revoked. IT IS SO ORDERED that Respondent's probation is revoked and the Order of Revocation of Respondent's Public Health License No. 60890 is reimposed, and Respondent's Public Health License No. 60890, heretofore issued to Respondent Charles Allen Humphries, is revoked. Pursuant to Government Code section 11520, subdivision (c), Respondent may serve a written motion requesting that the Decision be vacated and stating the grounds relied on within seven (7) days after service of the Decision on Respondent. The agency in its discretion may vacate the Decision and grant a hearing on a showing of good cause, as defined in the statute. This Decision shall become effective on DECEMBER 15, 2007 It is so ORDERED NOVEM BER 15, 2007 Attachment: Exhibit A: Petition to Revoke Probation No.2006-35

Exhibit A Petition to Revoke Probation No. 2006-35

	ll .		
	EDMUND G. BROWN JR., Attorney General		
	of the State of California WILBERT BENNETT		
	Supervising Deputy Attorney General CAROL S. ROMEO, State Bar No. 124910		
_	Deputy Attorney General California Department of Justice		
	1515 Clay Street, 20th Floor		
2	P.O. Box 70550 Oakland, CA 94612-0550		
e	Telephone: (510) 622-2141 Facsimile: (510) 622-2270		
7			
. 8	li ·		
9	BEFORE BOARD OF REGISTE		
10	DEPARTMENT OF CON	SUMER AFFAIRS	
11	STATE OF CAL	IFORNIA	
•	In the Matter of the Petition to Revoke Probation	Case No. 2006-35	
12	Against:		
. 13	CHARLES ALLEN HUMPHRIES 3204 Scenic Drive	PETITION TO REVOKE PROBATION	
14		PROBATION	
15	Registered Nurse License No. 482329		
16	Public Health Nurse No. 60890		
17	Respondent,		
18			
19	Complainant alleges:		
20	<u>PARTIE</u>	<u>S</u>	
21	1. Ruth Ann Terry, M.P.H., R.N. (Complainant) brings this Petition to		
22	Revoke Probation solely in her official capacity as the Executive Officer of the Board of		
23	Registered Nursing.		
24	2. On or about August 31, 1992,	he Board of Registered Nursing issued	
25	Registered Nurse License No. 482329 to CHARLES ALLEN HUMPHRIES (Respondent). The		
26	license was in effect at all times relevant to the charges brought herein, and will expire on		
27	January 31, 2008, unless renewed. On or about August 4, 1999, the Board of Registered		
28	Nursing issued Public Health Nurse License No. 60890 to Respondent. The license was in effect		
	2000 T delice House Processe 140, 0085	to respondent. The license was in effect	

Abstain from Use of Psychotropic (Mood-Altering) Drugs. Respondent shall completely abstain from the possession, injection or consumption by any route of all controlled substances and all psychotropic (mood altering) drugs, including alcohol, except when the same are ordered by a health care professional legally authorized to do so as part of documented medical treatment. Respondent shall have sent to the Board, in writing and within fourteen (14) days, by the prescribing health professional, a report identifying the medication, dosage, the date the medication was prescribed, the Respondent's prognosis, the date the medication will no longer be required, and the effect on the recovery plan, if appropriate.

Respondent shall identify for the Board a single physician, nurse practitioner or physician assistant who shall be aware of Respondent's history of substance abuse and will coordinate and monitor any prescriptions for Respondent for dangerous drugs, controlled substances or mood-altering drugs. The coordinating physician, nurse practitioner, or physician assistant shall report to the Board on a quarterly basis Respondent's compliance with this condition. If any substances considered addictive have been prescribed, the report shall identify a program for the time limited use of any such substances.

The Board may require the single coordinating physician, nurse practitioner, or physician assistant to be a specialist in addictive medicine, or to consult with a specialist in addictive medicine.

- 10. Respondent's probation is subject to revocation because he failed to comply with Probation Condition 16, referenced above, by failing to completely abstain from the personal use or possession of psychotropic (mood altering) drugs or controlled substances, as defined in the California Uniform Controlled Substances Act, and the same drugs were not ordered by a health care professional legally authorized to prescribe them as part of documented medical treatment, as follows:
- a. On or about July 10, 2006, Respondent tested positive for Codeine, a controlled substance.
- b. On or about August 4, 2006, Respondent tested positive for Methadone, a controlled substance.
- c. On or about August 18, 2006, Respondent tested positive for Methadone, a controlled substance.
- d. On or about September 6, 2006, Respondent tested positive for Morphine, a controlled substance, and Oxycodone, a controlled substance.
 - e. On or about September 13, 2006, Respondent tested positive for

Morphine, a controlled substance, and Oxycodone, a controlled substance. 1 On or about October 26, 2006, Respondent tested positive for Methadone, 2 f. a controlled substance, Oxycodone, a controlled substance, and Oxymorphone, a controlled 3 substance. 4 5 On or about November 29, 2006, Respondent tested positive for g. Methadone, a controlled substance, and Oxymorphone, a controlled substance. 6 7 SECOND CAUSE TO REVOKE PROBATION 8 (Submit to Tests and Samples) 9 At all times after the effective date of Respondent's probation, Condition 11. 10 17 stated: Submit to Tests and Samples. Respondent, at his expense, shall participate in a 11 random, biological fluid testing or a drug screening program which the Board 12 approves. The length of time and frequency will be subject to approval by the Board. Respondent is responsible for keeping the Board informed of Respondent's current telephone number at all times. Respondent shall also ensure 13 that messages may be left at the telephone number when he is not available and 14 ensure that reports are submitted directly by the testing agency to the Board, as directed. Any confirmed positive finding shall be reported immediately to the 15 Board by the program and Respondent shall be considered in violation of probation. 16 In addition, Respondent, at any time during the period of probation, shall fully 17 cooperate with the Board or any of its representatives, and shall, when requested, submit to such tests and samples as the Board or its representatives may require 18 for the detection of alcohol, narcotics, hypnotics, dangerous drugs, or other controlled substances. 19 If Respondent has a positive drug screen for any substance not legally authorized 20 and not reported to the coordinating physician, nurse practitioner, or physician assistant, and the Board files a petition to revoke probation or an accusation, the 21 Board may suspend Respondent from practice pending the final decision on the petition to revoke probation or the accusation. This period of suspension will not 22 apply to the reduction of this probationary time period. 23 If Respondent fails to participate in a random, biological fluid testing or drug screening program within the specified time frame, Respondent shall immediately 24 cease practice and shall not resume practice until notified by the Board. After taking into account documented evidence of mitigation, if the Board files a 25 petition to revoke probation or an accusation, the Board may suspend Respondent from practice pending the final decision on the petition to revoke probation or the 26 accusation. This period of suspension will not apply to the reduction of this probationary time period.

27

28

Ī	b. Respondent failed to submit or have submitted a documented treatment
2	plan from the prescribing physician for the drugs for which he tested positive, as set forth above
3	↑
4	FOURTH CAUSE TO REVOKE PROBATION
5	(Cost Recovery)
6	15. At all times after the effective date of Respondent's probation, Condition
7	ji
8 9 10	Cost Recovery. Respondent shall pay to the Board costs associated with its investigation and enforcement pursuant to Business and Professions Code section 125.3 in the amount of \$2.478.00 (two thousand four hundred seventy eight dollars). Respondent shall be permitted to pay these costs in a payment plan approved by the Board, with payments to be completed no later than three months prior to the end of the probation term.
12	If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of his good faith efforts to comply with this condition, and if no other conditions have been violated, the
14	Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation will apply.
15 16	16. Respondent's probation is subject to revocation because he failed to comply
17	with Probation Condition 11, referenced above. The facts and circumstances regarding this
18	violation are as follows:
19	a. Respondent failed to submit regular cost recovery payments.
20	FIFTH CAUSE TO REVOKE PROBATION
21	(Comply with Board's Probation Program)
22	17. At all times after the effective date of Respondent's probation, Condition 2
23	stated:
24	Comply with the Board's Probation Program. Respondent shall fully comply with the conditions of the Probation Program established by the Board and
25	cooperate with representatives of the Board in its monitoring and investigation of the Respondent's compliance with the Board's Probation Program. Respondent
26	shall inform the Board in writing within no more than 15 days of any address change and shall at all times maintain an active, current license status with the Board, including during any period of suspension.
27	Upon successful completion of probation, Respondent's license shall be fully restored.

1	18. Respondent's probation is subject to revocation because he failed to comp	
. 2	with Probation Conditions 16, 17, 5, and 11, as set forth above.	
3	<u>PRAYER</u>	
4	WHEREFORE, Complainant requests that a hearing be held on the matters herein	
5	alleged, and that following the hearing, the Board issue a decision:	
6		
7	and imposing the disciplinary order that was stayed, thereby revoking Registered Nurse License	
8	Number 482329, issued to CHARLES ALLEN HUMPHRIES;	
. 9	2. Revoking the probation that was granted by the Board in Case No.2006-35	
10	and imposing the disciplinary order that was stayed, thereby revoking Public Health Nurse	
11	License Number 60890, issued to CHARLES ALLEN HUMPHRIES;	
12		
13	Tuking such other and further action as deemed necessary and proper.	
14	DATED: 6122107	
15		
16	Ruth A-T	
17	RUTH ANN TERRY, M.P.H., R.N. Executive Officer	
18	Board of Registered Nursing State of California	
19	Complainant	
20		
21		
22	03579110-SF2007400820	
23	CSR: 04.26.07	
24		
25		
26		
27		
41 1		

Exhibit A

Decision and Order

Board of Registered Nursing Case No. 2006-35

BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS

STATE OF CALIFORNIA BEFORE THE 3 4 In the Matter of the Accusation Against: Case No. 2006-35 CHARLES ALLEN HUMPHRIES 3204 Scenic Drive OAH No. Napa, CA 94558 Registered Nurse License No. 482329 Public Health Nurse License No. 60890 8 9 Respondent. 10 11 **DECISION AND ORDER** The attached Stipulated Settlement and Disciplinary Order is hereby adopted by 12 the Board of Registered Nursing, as its Decision in this matter. 13 14 This Decision shall become effective on MAY 5, 200 6 15 It is so ORDERED A 16 17 18 La Francine Whate 19 FOR THE BOARD OF REGISTERED NURSING 20 21 22

23

24

25

26

27

28

6	BILL LOCKYER, Attorney General of the State of California CAROL S. ROMEO, State Bar No. 124910 Deputy Attorney General California Department of Justice 1515 Clay Street, 20 th Floor P.O. Box 70550 Oakland, CA 94612-0550 Telephone: (510) 622-2141 Facsimile: (510) 622-2270 Attorneys for Complainant BEFORE BOARD OF REGISTI DEPARTMENT OF CON STATE OF CAL	ERED NURSING
12 CI 32 13 Na 14 Re	n the Matter of the Accusation Against: CHARLES ALLEN HUMPHRIES 204 Scenic Drive Japa, CA 94558 egistered Nurse License No. 482329 ublic Health Nurse License No. 60890	Case No. 2006-35 OAH No. STIPULATED SETTLEMENT AND DISCIPLINARY ORDER
16	IT IS HEREBY STIPULATED AND A Dive-entitled proceedings that the following matters a	AGREED by and between the parties to the are true:
20 the B 22 repre. 23 S. Ro 24 25 in this 26 27 Registe	PARTIES 1. Ruth Ann Terry, M.P.H., R.N. (Compared of Registered Nursing. She brought this action essented in this matter by Bill Lockyer, Attorney General. 2. CHARLES ALLEN HUMPHRIES of the proceeding and has chosen not to exercise his right.	Complainant) is the Executive Officer of on solely in her official capacity and is neral of the State of California, by Carol S (Respondent) is representing himself at to be represented by counsel. Board of Registered Nursing issued

27.

will expire on January 31, 2006, unless renewed.

4. On or about August 4, 1999, the Board of Registered Nursing issued Public Health Nurse License No. 60890 to CHARLES ALLEN HUMPHRIES (Respondent). The License was in full force and effect at all times relevant to the charges brought in Accusation No. 2006-35 and will expire on January 31, 2006, unless renewed.

JURISDICTION

5. Accusation No. 2006-35 was filed before the Board of Registered Nursing (Board), and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on September 22, 2005. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 2006-35 is attached as Exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, and understands the charges and allegations in Accusation No. 2006-35. Respondent has also carefully read, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. Respondent admits the truth of each and every charge and allegation in Accusation No. 2006-35.
 - 10. Respondent agrees that his Registered Nurse License and Public Health

Nurse License are subject to discipline and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

CONTINGENCY

- 12. The parties hereto acknowledge that this Stipulated Settlement constitutes an offer in settlement to the Board and is not effective until adoption by said agency.
- 13. The parties hereto stipulate that in the event that this Stipulated Settlement is not adopted by the Board, nothing herein recited shall be construed as a waiver of respondent's right to a hearing or as an admission of the truth of any of the matters charged in the accusation.
- 14. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Registered Nurse License No. 482329 and Public Health Nurse License No. 60890 issued to Respondent are revoked. However, the revocations are stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

Severability Clause. Each condition of probation contained herein is a separate and distinct condition. If any condition of this Order, or any application thereof, is declared unenforceable in whole, in part, or to any extent, the remainder of this Order, and all other applications thereof, shall not be affected. Each condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

1. Obey All Laws. Respondent shall obey all federal, state and local laws. A full and detailed account of any and all violations of law shall be reported by Respondent to the Board in writing within seventy-two (72) hours of occurrence. To permit monitoring of compliance with this condition, Respondent shall submit completed fingerprint forms and

fingerprint fees within 45 days of the effective date of the decision, unless previously submitted as part of the licensure application process.

Criminal Court Orders: If Respondent is under criminal court orders, including probation or parole, and the order is violated, this shall be deemed a violation of these probation conditions, and may result in the filing of an accusation and/or petition to revoke probation.

2. Comply with the Board's Probation Program. Respondent shall fully comply with the conditions of the Probation Program established by the Board and cooperate with representatives of the Board in its monitoring and investigation of the Respondent's compliance with the Board's Probation Program. Respondent shall inform the Board in writing within no more than 15 days of any address change and shall at all times maintain an active, current license status with the Board, including during any period of suspension.

Upon successful completion of probation, Respondent's license shall be fully restored.

- Report in Person. Respondent, during the period of probation, shall appear in person at interviews/meetings as directed by the Board or its designated representatives.
- 4. Residency, Practice, or Licensure Outside of State. Periods of residency or practice as a registered nurse outside of California shall not apply toward a reduction of this probation time period. Respondent's probation is tolled, if and when he resides outside of California. Respondent must provide written notice to the Board within 15 days of any change of residency or practice outside the state, and within 30 days prior to re-establishing residency or returning to practice in this state.

Respondent shall provide a list of all states and territories where he has ever been licensed as a registered nurse, vocational nurse, or practical nurse. Respondent shall further provide information regarding the status of each license and any changes in such license status during the term of probation. Respondent shall inform the Board if he applies for or obtains a new nursing license during the term of probation.

 5. Submit Written Reports. Respondent, during the period of probation, shall submit or cause to be submitted such written reports/declarations and verification of actions under penalty of perjury, as required by the Board. These reports/declarations shall contain statements relative to Respondent's compliance with all the conditions of the Board's Probation Program. Respondent shall immediately execute all release of information forms as may be required by the Board or its representatives.

Respondent shall provide a copy of this Decision to the nursing regulatory agency in every state and territory in which he has a registered nurse license.

6. Function as a Registered Nurse. Respondent, during the period of probation, shall engage in the practice of registered nursing in California for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

For purposes of compliance with this condition, "engage in the practice of registered nursing" may include, when approved by the Board, volunteer work as a registered nurse, or work in any non-direct patient care position that requires licensure as a registered nurse.

The Board may require that advanced practice nurses engage in advanced practice nursing for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of his good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation shall apply.

7. Employment Approval and Reporting Requirements. Respondent shall obtain prior approval from the Board before commencing or continuing any employment, paid or voluntary, as a registered nurse. Respondent shall cause to be submitted to the Board all performance evaluations and other employment related reports as a registered nurse upon request of the Board.

Respondent shall provide a copy of this Decision to his employer and immediate supervisors prior to commencement of any nursing or other health care related employment.

In addition to the above, Respondent shall notify the Board in writing within seventy-two (72) hours after he obtains any nursing or other health care related employment. Respondent shall notify the Board in writing within seventy-two (72) hours after he is terminated or separated, regardless of cause, from any nursing, or other health care related employment with a full explanation of the circumstances surrounding the termination or separation.

8. Supervision. Respondent shall obtain prior approval from the Board regarding Respondent's level of supervision and/or collaboration before commencing or continuing any employment as a registered nurse, or education and training that includes patient care.

Respondent shall practice only under the direct supervision of a registered nurse in good standing (no current discipline) with the Board of Registered Nursing, unless alternative methods of supervision and/or collaboration (e.g., with an advanced practice nurse or physician) are approved.

Respondent's level of supervision and/or collaboration may include, but is not limited to the following:

- (a) Maximum The individual providing supervision and/or collaboration is present in the patient care area or in any other work setting at all times.
- (b) Moderate The individual providing supervision and/or collaboration is in the patient care unit or in any other work setting at least half the hours Respondent works.
- (c) Minimum The individual providing supervision and/or collaboration has person-to-person communication with Respondent at least twice during each shift worked.
- (d) Home Health Care If Respondent is approved to work in the home health care setting, the individual providing supervision and/or collaboration shall have person-to-person communication with Respondent as required by the Board each work day. Respondent shall maintain telephone or other telecommunication contact with the individual providing supervision and/or collaboration as required by the Board during each work day. The individual

providing supervision and/or collaboration shall conduct, as required by the Board, periodic, onsite visits to patients' homes visited by Respondent with or without Respondent present.

9. Employment Limitations. Respondent shall not work for a nurse's registry, in any private duty position as a registered nurse, a temporary nurse placement agency, a traveling nurse, or for an in-house nursing pool.

Respondent shall not work for a licensed home health agency as a visiting nurse unless the registered nursing supervision and other protections for home visits have been approved by the Board. Respondent shall not work in any other registered nursing occupation where home visits are required.

Respondent shall not work in any health care setting as a supervisor of registered nurses. The Board may additionally restrict Respondent from supervising licensed vocational nurses and/or unlicensed assistive personnel on a case-by-case basis.

Respondent shall not work as a faculty member in an approved school of nursing or as an instructor in a Board approved continuing education program.

Respondent shall work only on a regularly assigned, identified and predetermined worksite(s) and shall not work in a float capacity.

If Respondent is working or intends to work in excess of 40 hours per week, the Board may request documentation to determine whether there should be restrictions on the hours of work.

10. Complete a Nursing Course(s). Respondent, at his own expense, shall enroll and successfully complete a course(s) relevant to the practice of registered nursing no later than six months prior to the end of his probationary term.

Respondent shall obtain prior approval from the Board before enrolling in the course(s). Respondent shall submit to the Board the original transcripts or certificates of completion for the above required course(s). The Board shall return the original documents to Respondent after photocopying them for its records.

11. Cost Recovery. Respondent shall pay to the Board costs associated with its investigation and enforcement pursuant to Business and Professions Code section 125.3 in the

amount of \$2.478.00 (two thousand four hundred seventy eight dollars). Respondent shall be permitted to pay these costs in a payment plan approved by the Board, with payments to be completed no later than three months prior to the end of the probation term.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of his good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation will apply.

12. Violation of Probation. If Respondent violates the conditions of his probation, the Board after giving Respondent notice and an opportunity to be heard, may set aside the stay order and impose the stayed discipline (revocation) of Respondent's license.

If during the period of probation, an accusation or petition to revoke probation has been filed against Respondent's license or the Attorney General's Office has been requested to prepare an accusation or petition to revoke probation against Respondent's license, the probationary period shall automatically be extended and shall not expire until the accusation or petition has been acted upon by the Board.

13. License Surrender. During Respondent's term of probation, if he ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the conditions of probation, Respondent may surrender his license to the Board. The Board reserves the right to evaluate Respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances, without further hearing. Upon formal acceptance of the tendered license and wall certificate, Respondent will no longer be subject to the conditions of probation.

Surrender of Respondent's license shall be considered a disciplinary action and shall become a part of Respondent's license history with the Board. A registered nurse whose license has been surrendered may petition the Board for reinstatement no sooner than the following minimum periods from the effective date of the disciplinary decision:

/ ጸ

(1) Two years for reinstatement of a license that was surrendered for any reason other than a mental or physical illness: or

(2) One year for a license surrendered for a mental or physical illness.

14. Physical Examination. Within 45 days of the effective date of this Decision, Respondent, at his expense, shall have a licensed physician, nurse practitioner, or physician assistant, who is approved by the Board before the assessment is performed, submit an assessment of the Respondent's physical condition and capability to perform the duties of a registered nurse. Such an assessment shall be submitted in a format acceptable to the Board. If medically determined, a recommended treatment program will be instituted and followed by the Respondent with the physician, nurse practitioner, or physician assistant providing written reports to the Board on forms provided by the Board.

If Respondent is determined to be unable to practice safely as a registered nurse, the licensed physician, nurse practitioner, or physician assistant making this determination shall immediately notify the Board and Respondent by telephone, and the Board shall request that the Attorney General's office prepare an accusation or petition to revoke probation. Respondent shall immediately cease practice and shall not resume practice until notified by the Board. During this period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required until the Board has notified Respondent that a medical determination permits Respondent to resume practice. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to have the above assessment submitted to the Board within the 45-day requirement, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. This period of suspension will not apply to the reduction of this probationary time period. The Board may waive or postpone this suspension only if significant, documented evidence of mitigation is provided. Such evidence must establish good faith efforts by Respondent to obtain the assessment, and a specific date for compliance must be provided. Only one such waiver or extension may be permitted.

.

15. Participate in Treatment/Rehabilitation Program for Chemical

Dependence. Respondent, at his expense, shall successfully complete during the probationary period or shall have successfully completed prior to commencement of probation a Board-approved treatment/rehabilitation program of at least six months duration. As required, reports shall be submitted by the program on forms provided by the Board. If Respondent has not completed a Board-approved treatment/rehabilitation program prior to commencement of probation, Respondent, within 45 days from the effective date of the decision, shall be enrolled in a program. If a program is not successfully completed within the first nine months of probation, the Board shall consider Respondent in violation of probation.

Based on Board recommendation, each week Respondent shall be required to attend at least one, but no more than five 12-step recovery meetings or equivalent (e.g., Narcotics Anonymous, Alcoholics Anonymous, etc.) and a nurse support group as approved and directed by the Board. If a nurse support group is not available, an additional 12-step meeting or equivalent shall be added. Respondent shall submit dated and signed documentation confirming such attendance to the Board during the entire period of probation. Respondent shall continue with the recovery plan recommended by the treatment/rehabilitation program or a licensed mental health examiner and/or other ongoing recovery groups.

Abstain from Use of Psychotropic (Mood-Altering) Drugs. Respondent shall completely abstain from the possession, injection or consumption by any route of all controlled substances and all psychotropic (mood altering) drugs, including alcohol, except when the same are ordered by a health care professional legally authorized to do so as part of documented medical treatment. Respondent shall have sent to the Board, in writing and within fourteen (14) days, by the prescribing health professional, a report identifying the medication, dosage, the date the medication was prescribed, the Respondent's prognosis, the date the medication will no longer be required, and the effect on the recovery plan, if appropriate.

Respondent shall identify for the Board a single physician, nurse practitioner or physician assistant who shall be aware of Respondent's history of substance abuse and will coordinate and monitor any prescriptions for Respondent for dangerous drugs, controlled

1.7

substances or mood-altering drugs. The coordinating physician, nurse practitioner, or physician assistant shall report to the Board on a quarterly basis Respondent's compliance with this condition. If any substances considered addictive have been prescribed, the report shall identify a program for the time limited use of any such substances.

The Board may require the single coordinating physician, nurse practitioner, or physician assistant to be a specialist in addictive medicine, or to consult with a specialist in addictive medicine.

participate in a random, biological fluid testing or a drug screening program which the Board approves. The length of time and frequency will be subject to approval by the Board. Respondent is responsible for keeping the Board informed of Respondent's current telephone number at all times. Respondent shall also ensure that messages may be left at the telephone number when he is not available and ensure that reports are submitted directly by the testing agency to the Board, as directed. Any confirmed positive finding shall be reported immediately to the Board by the program and Respondent shall be considered in violation of probation.

In addition, Respondent, at any time during the period of probation, shall fully cooperate with the Board or any of its representatives, and shall, when requested, submit to such tests and samples as the Board or its representatives may require for the detection of alcohol, narcotics, hypnotics, dangerous drugs, or other controlled substances.

If Respondent has a positive drug screen for any substance not legally authorized and not reported to the coordinating physician, nurse practitioner, or physician assistant, and the Board files a petition to revoke probation or an accusation, the Board may suspend Respondent from practice pending the final decision on the petition to revoke probation or the accusation. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to participate in a random, biological fluid testing or drug screening program within the specified time frame, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. After taking into account documented evidence of mitigation, if the Board files a petition to revoke probation or an accusation, the

22 ·

Board may suspend Respondent from practice pending the final decision on the petition to revoke probation or the accusation. This period of suspension will not apply to the reduction of this probationary time period.

18. Mental Health Examination. Respondent shall, within 45 days of the effective date of this Decision, have a mental health examination including psychological testing as appropriate to determine his capability to perform the duties of a registered nurse. The examination will be performed by a psychiatrist, psychologist or other licensed mental health practitioner approved by the Board. The examining mental health practitioner will submit a written report of that assessment and recommendations to the Board. All costs are the responsibility of Respondent. Recommendations for treatment, therapy or counseling made as a result of the mental health examination will be instituted and followed by Respondent.

If Respondent is determined to be unable to practice safely as a registered nurse, the licensed mental health care practitioner making this determination shall immediately notify the Board and Respondent by telephone, and the Board shall request that the Attorney General's office prepare an accusation or petition to revoke probation. Respondent shall immediately cease practice and may not resume practice until notified by the Board. During this period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required, until the Board has notified Respondent that a mental health determination permits Respondent to resume practice. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to have the above assessment submitted to the Board within the 45-day requirement, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. This period of suspension will not apply to the reduction of this probationary time period. The Board may waive or postpone this suspension only if significant, documented evidence of mitigation is provided. Such evidence must establish good faith efforts by Respondent to obtain the assessment, and a specific date for compliance must be provided. Only one such waiver or extension may be permitted.

•]	19. Therapy	or Counseling Program. Respondent, at his expense, shall
. 2	participate in an on-going counse	eling program until such time as the Board releases him from this
3	requirement and only upon the re	ecommendation of the counselor. Written progress reports from
4	li e	
5	5	ACCEPTANCE
6	I have carefully re	ad the Stipulated Settlement and Disciplinary Order. I
7		effect it will have on my Registered Nurse License and my
. 8		nter into this Stipulated Settlement and Disciplinary Order
9		igently, and agree to be bound by the Decision and Order of the
10		
11	DATED: 11 9 200	5
12		
13		Charles allen Burghun
14		CHARLES ALLEN HUMPHRIES Respondent
15		
16		ENDORSEMENT
17	The foregoing Stipt	plated Settlement and Disciplinary Order is hereby respectfully
18	submitted for consideration by the	· · · · · · · · · · · · · · · · · · ·
19		
20	DATED: 1/22/65	
21		BILL LOCKYER, Attorney General
22	1	of the State of California
23		Carol & Romeo
24		CAROL S. ROMEO
25		Deputy Attorney General
26		Attorneys for Complainant
27		
28		

28.

Exhibit A
Accusation No. 2006-35

	. 1		
	_	Il VI me State of California	
	. 2	THE TANK OF THE OF THE PROPERTY OF THE PROPERT	
	. 3	Deputy Attorney General California Department of Justice	
		1010 Clay Street 20th Floor	
	4	P.O. Box 70550	
	5	Oakland, CA 94612-0550	
	ر	Telephone: (510) 622-2141 Facsimile: (510) 622-2270	
	-6	1	
	7	Attorneys for Complainant	
	· /		
	8	DEFOR	
		BEFORE THE BOARD OF REGISTERED NURSING	
·	9	DELAKTIVENT OF CONCILATED	•
	10	STATE OF CALIFORNIA	
•	11	In the Matter of the Accusation Against: Case No. 2006-35	
	12	CHARLES ALLEN HUMPHRIES	
-		3204 Scenic Drive	
	3	Napa, CA 94558 ACCUSATION	
. 1	4	Registered Nurse License No. 482329	
1	5	Public Health Nurse License No. 60890	
1	۱ ا		
1	6	Respondent.	
11	,		
•	′∥	Complainant alleges:	
18	3	<u>PARTIES</u>	
19	,		
•	∥.	1. Ruth Ann Terry, M.P.H., R.N. (Complainant) brings this Accusation	
20	S	olely in her official capacity as the Executive Officer of the Board of Registered Nursing,	
21	$\ _{\mathrm{D}}$	enartment of Comment A.S.	
		epartment of Consumer Affairs.	
22		2. On or about August 31, 1992, the Board of Registered Nursing issued	-
. 23	R	Egistered Nurse License Number 482200 in Grand of Registered Nursing issued	
24		egistered Nurse License Number 482329 to CHARLES ALLEN HUMPHRIES (Respondent).	
24	11	The Registered Nurse License was in full force and effect at all times relevant to the charges	
25	bro	ought herein and will expire on January 21, 222	1
26		ought herein and will expire on January 31, 2006, unless renewed.	l
26		3. On or about August 4, 1999, the Board of Registered Nursing issued	
27	Pul	plic Health Nurse License Number 60000 + 7	
28	Public Health Nurse License Number 60890 to Respondent. The Public Health Nurse License		
20	, was	in full force and effect at all times relevant to the charges brought herein and will expire on	
- 1		S = 137 Mill expire on	
11		1	

or administer to another, any controlled substance as defined in Division 10 (commencing with Section 11000) of the Health and Safety Code or any dangerous drug or dangerous device as defined in Section 4022.

- "(b) Use any controlled substance as defined in Division 10 (commencing with Section 11000) of the Health and Safety Code, or any dangerous drug or dangerous device as defined in Section 4022, or alcoholic beverages, to an extent or in a manner dangerous or injurious to himself or herself, any other person, or the public or to the extent that such use impairs his or her ability to conduct with safety to the public the practice authorized by his or her license.
- "(c) Be convicted of a criminal offense involving the prescription, consumption, or self-administration of any of the substances described in subdivisions (a) and (b) of this section, or the possession of, or falsification of a record pertaining to, the substances described in subdivision (a) of this section, in which event the record of the conviction is conclusive evidence thereof.
- 9. Section 490 of the Code states, in pertinent part, that "[a] board may suspend or revoke a license on the ground that the licensee has been convicted of a crime, if the crime is substantially related to the qualifications, functions, or duties of the business or profession for which the license was issued. A conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Any action which a board is permitted to take following the establishment of a conviction may be taken when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code."
- 10. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

FIRST CAUSE FOR DISCIPLINE

(Substantially Related Conviction)

- Code in that he was convicted of a crime substantially related to the qualifications, functions or duties of a licensed registered nurse and public health nurse in that on or about March 3, 2004, in the Superior Court of California, Sonoma County, Case Number TCR-434156, entitled *The People of the State of California v. Charles Allen Humphries*, Respondent was convicted by the court on his plea of no contest of one count of violating Vehicle Code section 23152(b) (driving with a blood alcohol content of .08% and more) and admitted the enhancement pursuant to Vehicle Code section 23578 of driving while having a blood alcohol content of .20% or more. Respondent also admitted that he had one prior conviction in May 2002 of violating Vehicle Code section 23152(a) (driving under the influence). The factual circumstances surrounding the conviction in Case Number TCR-434156 are as follows:
- a. On or about January 6, 2004, in Healdsburg, California,
 Respondent was driving his vehicle while his blood alcohol content was .29%, in violation of
 Vehicle Code 23152(b). Respondent was also driving while his license was suspended or
 revoked, in violation of Vehicle Code section 14601.5(a).

SECOND CAUSE FOR DISCIPLINE

(Conviction of a Crime Involving Alcoholic Beverage)

12. Respondent is subject to discipline under section 2761(a) of the Code on the grounds of unprofessional conduct as defined by Code section 2762(c) in that on or about March 3, 2004, respondent was convicted of a crime involving the consumption of alcoholic beverages, a substance described in section 2762(b) of the Code, as set forth above, in paragraph 11.

THIRD CAUSE FOR DISCIPLINE

(Using Alcoholic Beverages to an Injurious Extent)

13. Respondent is subject to discipline under section 2761(a) of the Code on the grounds of unprofessional conduct as defined in Code section 2762(b), in that on or about

January 6, 2004, Respondent used and was under the influence of alcoholic beverages while driving a vehicle. Said conduct was dangerous to himself and the public, as set forth above, in paragraph 11.

FOURTH CAUSE FOR DISCIPLINE

(Substantially Related Conviction)

- 14. Respondent is subject to discipline under sections 2761(f) and 490 of the Code in that he was convicted of a crime substantially related to the qualifications, functions or duties of a licensed registered nurse and public health nurse in that on or about March 3, 2004, in the Superior Court of California, Napa County, Case Number CR115646, entitled *The People of the State of California v. Charles Allen Humphries*, Respondent was convicted by the court on his plea of no contest of one count of violating Vehicle Code section 23152(a) (driving while under the influence) and admitted to having one prior conviction, which occurred in May 2002 for violating Vehicle Code section 23152(a). The factual circumstances surrounding the conviction in Case Number CR-115646 are as follows:
- a. On or about October 30, 2003, in Napa County, California, Respondent, while driving under the influence, rear ended a vehicle, causing that vehicle to rear end the back of another vehicle.

FIFTH CAUSE FOR DISCIPLINE

(Conviction of a Crime Involving Alcoholic Beverage)

15. Respondent is subject to discipline under section 2761(a) of the Code on the grounds of unprofessional conduct as defined by Code section 2762(c) in that on or about March 3, 2004, Respondent was convicted of a crime involving the consumption of alcoholic beverages, a substance described in section 2762(b) of the Code, as set forth above, in paragraph 14.

SIXTH CAUSE FOR DISCIPLINE

(Using Alcoholic Beverages to an Injurious Extent)

16. Respondent is subject to discipline under section 2761(a) of the Code on the grounds of unprofessional conduct as defined in Code section 2762(b), in that on or about

October 30, 2003, Respondent used and was under the influence of alcoholic beverages while driving a vehicle. Said conduct was dangerous to himself and the public, as set forth above, in paragraph 14.

SEVENTH CAUSE FOR DISCIPLINE

(Substantially Related Conviction)

- 17. Respondent is subject to discipline under sections 2761(f) and 490 of the Code in that he was convicted of a crime substantially related to the qualifications, functions or duties of a licensed registered nurse and public health nurse in that on or about May 31, 2002, in the Superior Court of California, Napa County, Case Number CR109334, entitled *The People of the State of California v. Charles Allen Humphries*, Respondent was convicted by the court on his plea of no contest of one count of violating Vehicle Code section 23152(b) (driving while under the influence) and admitted the enhancement pursuant to Vehicle Code section 23578 of driving while having a blood alcohol content of .20% or more. The factual circumstances surrounding the conviction in Case Number CR109334 are as follows:
- a. On or about May 12, 2002, in Napa County, California, Respondent was driving his vehicle while under the influence of alcohol, in violation of Vehicle Code section 23152(a), and while his blood alcohol content was .26%.

EIGHTH CAUSE FOR DISCIPLINE

(Conviction of a Crime Involving Alcoholic Beverages)

18. Respondent is subject to discipline under section 2761(a) of the Code on the grounds of unprofessional conduct as defined by Code section 2762(c) in that on or about May 31, 2002, Respondent was convicted of a crime involving the consumption of alcoholic beverages, a substance described in section 2762(b) of the Code, as set forth above, in paragraph 17.

NINTH CAUSE FOR DISCIPLINE

(Using Alcoholic Beverages to an Injurious Extent)

19. Respondent is subject to discipline under section 2761(a) of the Code on the grounds of unprofessional conduct as defined in Code section 2762(b), in that on or about

1	May 12, 2002, Respondent used and was under the influence of alcoholic beverages while	
·2		
3	1. 17	
. 4	PRAYER	
5	WHEREFORE, Complainant requests that a hearing be held on the matters herein	
. 6	alleged, and that following the hearing, the Board issue a decision:	
7	1. Revoking or suspending Registered Nurse License Number 482329, issued	
. 8	to CHARLES ALLEN HUMPHRIES;	
9	2. Revoking or suspending Public Health Nurse License Number 60890,	
10	issued to CHARLES ALLEN HUMPHRIES.	
11	3. Ordering CHARLES ALLEN HUMPHRIES to pay the Board of	
12	Registered Nursing the reasonable costs of the investigation and enforcement of this case,	
13	pursuant to Business and Professions Code section 125.3;	
14	4. Taking such other and further action as deemed necessary and proper.	
15	DATED: 9(13(0)	
16		
17	R. A. Osni	
18	RUTH ANN TERRY, M.P.H., R.N. Executive Officer	
19	Board of Registered Nursing State of California	
20	Complainant	
21	03579110SF2005400818	
22.	CSR: 8/31/05	
23		
.24		
25		
26		
27		